

## Modular functions & Modular forms

1.

Def Let  $\mathcal{H} = \{x + iy \in \mathbb{C} : y > 0\}$  be the upper half plane in  $\mathbb{C}$ .

Def The (full) modular group is the group

$$\Gamma := \mathrm{SL}_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\}$$

lemma For  $z \in \mathcal{H}$  and  $\sigma \in \mathrm{SL}_2(\mathbb{Z})$ ,  $\Gamma$  acts on  $\mathcal{H}$  by  $\sigma z := \frac{az+b}{cz+d}$

proof Group action  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  acts trivially

$$\text{and } \sigma_2(\sigma_1 z) = (\sigma_2 \sigma_1) z$$

Also  $\sigma z \in \mathcal{H}$

$$\begin{aligned} \text{since } \mathrm{Im}(\sigma z) &= \mathrm{Im}\left(\frac{az+b}{cz+d}\right) = \mathrm{Im}\left(\frac{(az+b)(c\bar{z}+d)}{|cz+d|^2}\right) \\ &= |cz+d|^{-2} \mathrm{Im}(ad\bar{z} + bc\bar{z}) \\ &= |cz+d|^{-2} \underbrace{(ad-bc)}_{=1} \mathrm{Im}(z) = \frac{\mathrm{Im}(z)}{|cz+d|^2} \end{aligned}$$

Def A function  $f: \mathcal{H} \rightarrow \mathbb{C}$  is called a modular function of weight  $k$

if (1)  $f$  is meromorphic on  $\mathcal{H}$

Remark (2) For all  $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$  and  $z \in \mathcal{H}$ ,

$$f(\sigma z) = (cz+d)^k f(z)$$

(3)  $f$  is "meromorphic at  $\infty$ "

$$f(z) = (-1)^k f(z) \Rightarrow \boxed{k \text{ even}}$$

Construction on Modular fcts Let  $\Lambda = \mathbb{Z}w_1 + \mathbb{Z}w_2$  be a lattice in  $\mathbb{C}$

If  $w'_1 = aw_1 + bw_2$   
 $w'_2 = cw_1 + dw_2$  for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ , then  $\mathbb{Z}w_1 + \mathbb{Z}w_2 = \mathbb{Z}w'_1 + \mathbb{Z}w'_2$

Interchanging  $w_1$  &  $w_2$  if needs we can

suppose that  $w_1/w_2 \in \mathcal{H}$ ,

and the action of  $\mathrm{SL}_2(\mathbb{Z})$  on lattices corresponds to the action of  $\mathrm{SL}_2(\mathbb{Z})$  on  $\mathcal{H}$

Let  $f(w_1, w_2)$  be a function on the lattices  $\mathbb{Z}w_1 + \mathbb{Z}w_2$

which is homogeneous in  $\lambda$  in  $\deg -k \in \mathbb{Z}$

$$\text{ie } f(\lambda w_1, \lambda w_2) = \lambda^{-k} f(w_1, w_2) \quad \forall \lambda \in \mathbb{C}, \lambda \neq 0.$$

$$\Rightarrow f(w_1, w_2) = w_2^{-k} f\left(\frac{w_1}{w_2}, 1\right) = w_2^{-k} f(z) \quad (*)$$

$$\text{where } z = \frac{w_1}{w_2} \in \mathcal{H}$$

$$\text{This gives } f(\gamma z) = f\left(\frac{a\left(\frac{w_1}{w_2}\right) + b}{c\left(\frac{w_1}{w_2}\right) + d}\right)$$

$$= f\left(\frac{aw_1 + bw_2}{cw_1 + dw_2}\right) \quad (*) = (cw_1 + dw_2)^k f(aw_1 + bw_2, cw_1 + dw_2)$$

$$= (cw_1 + dw_2)^k f(w_1, w_2) \quad \text{since same lattice}$$

$$(*) = \frac{(cw_1 + dw_2)^k}{w_2^k} f\left(\frac{w_1}{w_2}\right) = (cz + d)^k f(z)$$

ie  $f(z)$  satisfies (2) of the definition of a modular form

$$\text{Recall } G_k(w_1, w_2) = \sum'_{w \in \Lambda} \frac{1}{w^k} = \sum_{\substack{(m,n) \in \mathbb{Z}^2 \\ (m,n) \neq (0,0)}} \frac{1}{(mw_1 + nw_2)^k} \quad \begin{matrix} k \geq 4 \\ k \text{ even} \end{matrix}$$

$G_k = 0$  for  $k$  odd

It is easy to see that it is homogeneous of  $\deg k$

$$\text{and } G_k(w_1, w_2) = w_2^{-k} G_k\left(\frac{w_1}{w_2}\right), \text{ where } G_k(z) = \sum_{(m,n) \neq (0,0)} \frac{1}{(mz + n)^k}$$

$$\Rightarrow G_k(\gamma z) = (cz + d)^k G_k(z) \quad (2)$$

① the function  $G_k(z)$  is holomorphic  $\forall z \in \mathcal{H}$  (cvg abs & unif on compacts)

③ Meromorphic at  $\infty$

Any meromorphic fct on  $\mathbb{C}$  which is invariant by  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$\in SL_2(\mathbb{Z})$ , ie  $\boxed{f(z+1) = f(z)}$ , is a well defined

function on the strip  $\mathbb{C}/T = \{0 \leq z < 1 : z \in \mathbb{C}\}$ .

Consider the analytic isomorphism

$$\mathbb{Q}/\mathbb{Z} \longrightarrow \mathbb{C}^*$$

3.

$$z \longmapsto e(z) = e^{2\pi i z}$$

We can write  $f(z) = g(e(z)) = g(q)$ , and  $g(q)$  is meromorphic function on  $\mathbb{C}^*$ .

- ③  $f(z)$  is meromorphic at  $z = \infty$   
 $\iff g(q)$  is meromorphic at  $q = 0$

Then,  $g(q) = \sum_{n=m}^{\infty} a_n q^n$ ,  $a_m \neq 0$  and  $\text{ord}_{\infty}(f) = m$

$$f(z) = \sum_{n=m}^{\infty} a_n e^{2\pi i n z} = \sum_{n=m}^{\infty} a_n e(nz)$$

Fourier coefficients

Fourier expansion at  $\infty$

We want to find the Fourier expansion of  $G_k(z)$ .

Then for  $k \geq 4$ ,  $k$  even, we have

$$G_k(z) = 2\zeta(k) + 2 \frac{(2\pi i)^k}{\Gamma(k)} \sum_{n=1}^{\infty} \sigma_{k-1}(n) \underbrace{e(nz)}_{e^{2\pi i n z} = q^n} \quad \text{Eisenstein Series}$$

where  $\sigma_3(n) = \sum_{d|n} d^3$  arithmetically interesting. In particular multiplicative

Then the normalised Eisenstein series  $E_k = \frac{G_k(z)}{2\zeta(k)}$

has Fourier expansion

$$E_k(z) = 1 - \frac{2k}{B_k} \sum_{n=1}^{\infty} \sigma_{k-1}(n) e(nz)$$

Since for  $k$  even  $-\frac{(2\pi i)^k}{2k!} B_k = \zeta(k)$ , where  $B_k$  are the Bernoulli numbers

defined as the coefficients in the power series

$$\frac{x}{e^x - 1} = \sum_{k=0}^{\infty} B_k \frac{x^k}{k!}$$

Proof We start from the series

(\*)  $\sin(\pi z) = \pi z \prod_{n=1}^{\infty} \left(1 - \frac{z}{n}\right) \left(1 + \frac{z}{n}\right)$  easy compose zeroes & poles 4.

Using the logarithmic derivative on both sides  
 (\*) ,

$$\frac{d}{dz} (\log \sin(\pi z)) = \frac{\pi \cos(\pi z)}{\sin(\pi z)},$$

we get

$$\pi \frac{\cos(\pi z)}{\sin(\pi z)} = \frac{d}{dz} \left[ \log(\pi z) + \sum_{n=1}^{\infty} \log\left(1 - \frac{z}{n}\right) + \log\left(1 + \frac{z}{n}\right) \right]$$

$$= \frac{1}{z} + \sum_{n=1}^{\infty} \frac{1}{z-n} + \frac{1}{z+n}$$

related to  $B_2$  via Hurwitz FC  
 $\int \frac{x}{1-e^x}$

We have  $\pi \frac{\cos(\pi z)}{\sin(\pi z)} = \pi i \left[ \frac{e^{i\pi z} + e^{-i\pi z}}{e^{i\pi z} - e^{-i\pi z}} \right] = \pi i + \frac{2\pi i}{e^{2\pi i z} - 1}$

$$= \pi i - 2\pi i \sum_{d=0}^{\infty} e(dz)$$

Remark for Homework 1:  
 $\prod_{n=1}^{\infty} \left(1 - \frac{z}{n}\right) \left(1 + \frac{z}{n}\right)$  explode geometric

and differentiating  $(k-1)$  times, we have  $(k \geq 1)$

$$\begin{aligned} (-1)^{k-1} (k-1)! \sum_{n=-\infty}^{\infty} (z-n)^{-k} &= \frac{d^{k-1}}{dz^{k-1}} \left( -2\pi i \sum_{d=0}^{\infty} e(dz) \right) \\ &= \frac{d^{k-2}}{dz^{k-2}} \left( -2\pi i \sum_{d=1}^{\infty} e(dz) (2\pi i d) \right) \\ &= \dots = -(2\pi i)^k \sum_{d=1}^{\infty} d^{k-1} e(dz) \end{aligned}$$

and we see that the coeffs of  $z^{k-1}$  is related to  $\zeta(k)$

This gives:

$$G_k(z) = \sum_{(m,n) \neq (0,0)} (mz+n)^{-k}$$

recall that  $k$  is even

$$\begin{aligned} &= \underbrace{2\zeta(k)}_{m=0} + 2 \sum_{m=1}^{\infty} \sum_{n=-\infty}^{\infty} (mz+n)^{-k} \\ &= 2\zeta(k) + 2 \frac{(2\pi i)^k}{(k-1)!} \sum_{m,d=1}^{\infty} d^{k-1} e(d mz) \\ &= 2\zeta(k) + 2 \frac{(2\pi i)^k}{(k-1)!} \sum_{n=1}^{\infty} \left( \sum_{d|n} d^{k-1} e(nz) \right) \end{aligned}$$

$-n \rightarrow n$   
 $z \rightarrow mz$   
 and use above  
 $(n=dm)$   
 $\sigma_{k-1}(n)$



Remark  $g_2(z) = 60 G_4(z) =$

$$= \left( \frac{2\pi}{12} \right)^4 \left[ 1 + 240 \sum_{n=1}^{\infty} \sigma_3(n) e(nz) \right] \quad \begin{array}{l} \text{modular} \\ \text{weight 4} \end{array}$$

$$g_3(z) = 140 G_6(z)$$

$$= \left( \frac{2\pi}{216} \right)^6 \left[ 1 - 504 \sum_{n=1}^{\infty} \sigma_5(n) e(nz) \right] \quad \begin{array}{l} \text{modular} \\ \text{weight 6} \end{array}$$

Then  $g_2(z)^3 - 27g_3(z)^2$  (both modular forms of weight 12)

$$= \underbrace{\left( \frac{16\pi^4}{12} \right)^3 - 27 \left( \frac{64\pi^6}{216} \right)^2}_{0} + \sum_{n=1}^{\infty} a(n) e(nz)$$

$\Delta(z) = g_2(z)^3 - 27g_3(z)^2$  is a modular function of weight 12 which vanishes at  $\infty$

Modular fct meromorphic at  $\infty$   $f(z) = \sum_{n \geq N} a_n q^n$   $N \in \mathbb{Z}$

Modular form holomorphic at  $\infty$   $f(z) = \sum_{n \geq 0} a_n q^n$

Cusp form vanishes at  $\infty$   $f(z) = \sum_{n \geq 1} a_n q^n$

$\Delta(z)$  = cusp form of weight 12

$$= (2\pi)^{12} \sum_{n=1}^{\infty} \tau(n) e(nz), \quad \tau(1) = 1$$

Ramanujan  $\tau$ -fct.

The coefficients  $\tau(n)$  are arithmetically very interesting!

# The modular Group $SL_2(\mathbb{Z})$

Thm The modular group is generated by the 2 matrices

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \& \quad S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

$$z \mapsto z+1 \quad \quad \quad z \mapsto -\frac{1}{z}$$

Then for  $f$  a modular fct  
 $f(Tz) = f(z+1) = f(z)$   
 $f(Sz) = f(-\frac{1}{z}) = z^k f(z)$

proof we remark that  $\begin{bmatrix} S^2 = -1 \\ S^4 = 1 \end{bmatrix}$  &  $S \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} -c & -d \\ a & b \end{pmatrix}$

$$T^n = \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad T^n \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a+cn & b+dn \\ c & d \end{pmatrix} \quad n \in \mathbb{Z}$$

$$\Rightarrow ST^n \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} -c & -d \\ a+cn & b+dn \end{pmatrix}$$

<u>Division Algo</u> $a = cn + r,$ $0 \leq r < c$
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Claim we can choose  $n \in \mathbb{Z} \oplus 0 \leq a+cn < |c|$ ,  
 so by induction, we can reduce to  $c=0$ , which is

always  $\pm \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$ , and  $T^{-m} \pm \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

by induction, since  $T^{-1} \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$

$$= \begin{pmatrix} 1 & m-1 \\ 0 & 1 \end{pmatrix}$$

So for any  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we have some combination of  $S, T, S^{-1}, T^{-1}$   
 say  $g(S, T)$ , such  $g(S, T) \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$$\Rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \pm \left[ g(S, T)^{-1} \right] \rightarrow \text{a string of } S, T, S^{-1}, T^{-1}$$

Exercise let  $z \in \bar{\mathbb{D}}$ . Then  
 the stabilizer of  $z$  under the  
 action of  $SL_2(\mathbb{Z})$  is  $\text{Stab}(z)$ .

$$\bar{\mathbb{D}} = \{ z = x+iy : |z| \geq 1 \text{ and } -\frac{1}{2} \leq \text{Re}(z) \leq \frac{1}{2} \}$$

$$\text{Stab}(z) = \begin{cases} \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & z \neq e^{2\pi i/3}, e^{2\pi i/6}, i \\ \langle S \rangle & z = i \\ \langle ST \rangle \text{ (of order 6)} & z = \omega \\ \langle TS \rangle \text{ (of order 6)} & z = \omega + 1 \end{cases}$$

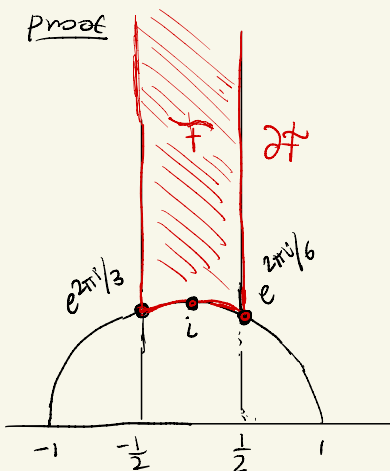
Def A fundamental domain for  $SL_2(\mathbb{Z})$  is a set  $D \subseteq \mathbb{H}$  such that

- ①  $D$  is a domain of  $\mathbb{H}$  (non empty, connected & open)
- ② Every orbit of  $\mathbb{H}/\Gamma$  has a point in  $D$  or on  $\partial D$ , the boundary of  $D$
- ③ If  $z_1, z_2 \in D$  then they don't belong to the same orbit of  $\Gamma$ , or  $z_1 \not\equiv z_2 \pmod{\Gamma}$

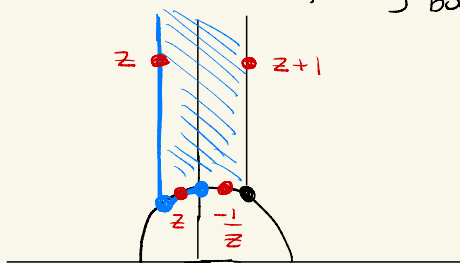
Thm Let  $D = \{z = x + iy : |x| < \frac{1}{2}, |z| > 1\}$ .

Then  $D$  is a fundamental domain for  $\Gamma$

proof



Furthermore, the  $\Gamma$ -equivalent points on  $\partial D$  are exactly the pairs of points  $(z, z')$  where  $z' = z \pm 1$  (on the vertical lines) or  $z' = -\frac{1}{z}$  (on the circular part of boundary).



proof let  $z \in \mathbb{H}$ . We want to find  $\gamma z$  st  $\gamma z \in F \cup \partial F$ .

$$\forall \gamma \quad \text{Im}(z) = \frac{\text{Im}(z)}{|cz + d|^2}. \quad \text{Since } \forall z, \exists \text{ finitely many } c, d \in \mathbb{Z} \text{ st } |cz + d| \leq 1, \text{ we can find } \gamma \text{ st } |cz + d| \text{ is minimal i.e. } |cz + d| \leq |c'z + d'| \quad \forall \gamma' \in \Gamma$$

Then  $\text{Im}(\gamma z) \geq \text{Im}(\gamma' z) \quad \forall \gamma' \in \Gamma$

Now  $\text{Im}(\Gamma^n \gamma z) = \text{Im}(\gamma z)$  and choosing

8.

in appropriately, we have  $\gamma (= T^n \gamma)$

such that  $\operatorname{Re}(\gamma z) \in [-\frac{1}{2}, \frac{1}{2}]$

$$\text{and } \operatorname{Im}(\gamma z) \geq \operatorname{Im}(S\gamma z) \quad (\gamma' = S\gamma) \\ = \operatorname{Im}\left(-\frac{1}{\gamma z}\right) = \frac{\operatorname{Im}(\gamma z)}{|\gamma z|^2}$$

$$\Rightarrow |\gamma z| > 1.$$

Furthermore let  $z, z' \in \mathbb{H} \cup \partial\mathbb{H}$  st  $\gamma z = z'$ .

$$\log \operatorname{Im}(z') > \operatorname{Im}(z) \Leftrightarrow \frac{\operatorname{Im}(z)}{|cz+d|^2} > \operatorname{Im}(z)$$

$$\Rightarrow |cz+d|^2 \leq 1$$

$$\text{Since } |cz+d|^2 = |cx+d|^2 + |cy|^2 \leq 1 \quad (*)$$

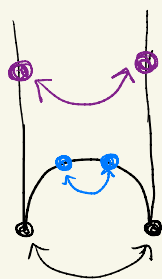
$$\text{and } y \geq \frac{\sqrt{3}}{2} \Rightarrow |c| \leq 1$$

case  $\boxed{c=0}$   $\begin{pmatrix} \pm 1 & b \\ 0 & \pm 1 \end{pmatrix} z \mapsto \frac{\pm z + b}{\pm 1} = z \pm b$

and both  $z$  &  $z \pm b \in \mathbb{H} \cup \partial\mathbb{H}$

$$\Rightarrow b = \pm 1, \text{ and } z' = z \pm 1 \quad \text{ie}$$

$$z = -\frac{1}{2} + iy \quad \& \quad z' = \frac{1}{2} + iy$$



$\boxed{c = \pm 1} \Rightarrow |d| \leq 1$  by  $(*)$  again

$\boxed{d=0}$   $\begin{pmatrix} a & \mp 1 \\ \pm 1 & 0 \end{pmatrix}$  &  $z' = a - \frac{1}{z}$

$$\Rightarrow |z| = 1 \text{ and } a = 0 \text{ or } \pm 1$$

$z' = -\frac{1}{z} \text{ and } |z| = 1$  Fixes  $i$

$a = 0$

$$\operatorname{Im}(z') = \frac{\operatorname{Im}(z)}{|z|}$$

$$\operatorname{Re}(z') = a + \operatorname{Re}\left(-\frac{1}{z}\right)$$

$$= a + \operatorname{Re}\left(\frac{-\bar{z}}{|z|}\right)$$

$$= a - \operatorname{Re}(z)$$

$a = \pm 1$  (with  $c = \pm 1$  and  $d = 0$ )

8.5

$$\pm \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix} \quad z' = \frac{z-1}{z} = 1 - \frac{1}{z} \quad \boxed{\text{fixes } \omega+1}$$

$$\pm \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix} \quad z' = \frac{-z-1}{z} = -1 - \frac{1}{z} \quad \boxed{\text{fixes } \omega}$$

Similarly,  $c = \pm 1$ ,  $d = \pm 1$  leads to

$$\pm \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} \quad \text{and} \quad \pm \begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix}$$

$$z \rightarrow \frac{-1}{z+1}$$

$$\boxed{\text{fixes } \omega}$$

$$z \mapsto \frac{-1}{z-1}$$

$$\boxed{\text{fixes } \omega+1}$$

Thm 1.3 Let  $f \neq 0$  be a modular form of weight  $k \geq 0$ .

Let  $\omega = e^{2\pi i/3}$ . Then  $\omega + 1 = e^{2\pi i/6}$

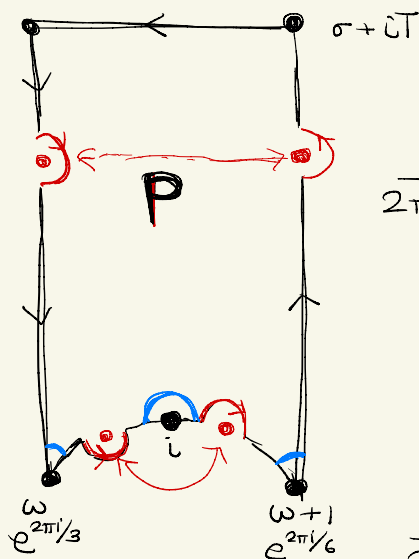
$$\sum_{z \in \mathcal{H}/\Gamma} \text{ord}_z(f) + \text{ord}_z(\infty) + \frac{1}{2} \text{ord}_i(f) + \frac{1}{3} \text{ord}_\omega(f) = \frac{k}{12}$$

or  $\sum_{z \in \mathcal{H}/\Gamma \cup \{\infty\}} \frac{\text{ord}_z(f)}{W(z)} = \frac{k}{12}$



where the weights are given by

$$W(z) = \begin{cases} 1 & z \neq i, \omega \\ 2 & z = i \\ 3 & z = \omega \end{cases}$$

proof



$$\frac{1}{2\pi i} \int_{\partial P} \frac{f'}{f} dz = \sum_{z \in P} \text{ord}_z(f)$$

where there are no residues on the boundary of  $P$  because of our  and 

$$\frac{1}{2\pi i} \int_{\partial P} = \int + \int + \int + \int$$

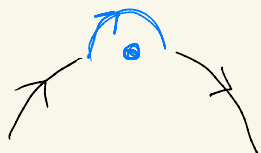


and we compute the 3 integrals

and then  $\lim_{\epsilon \rightarrow 0}$ ,  $\lim_{T \rightarrow \infty}$  :



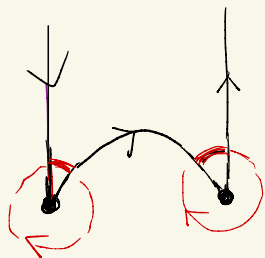
$P$  contains all the singularities on the original boundary inside except  $i, \omega, \omega+1$



$$\frac{1}{2\pi i} \int \frac{f'}{f} dz = -\frac{1}{2} \text{res}_z \frac{f'}{f} = -\frac{\text{ord}_z(f)}{2}$$

(becomes + on the RHS)

If  $z = e^{2\pi i/3}$  is a zero of  $f$



what is the angle?  $\frac{2\pi}{6} = \frac{\pi}{3}$

$$\Rightarrow \frac{1}{2\pi i} \int \frac{f'}{f} dz = \frac{-1}{6} \text{ ord}_z(\omega)$$



and we will encounter 2 of them.

$$\text{Then } \frac{1}{2\pi i} \int \frac{f'}{f} dz = \frac{-\text{ord}_z(\omega)}{3}$$

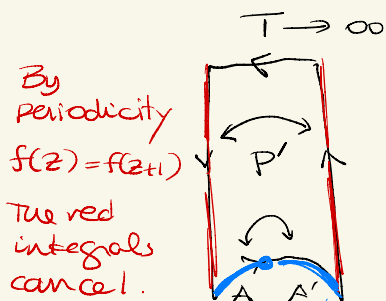


This gives taking  $\varepsilon \rightarrow 0$

$$\frac{1}{2\pi i} \int_{P'} \frac{f'}{f}(z) dz = \sum_{z \neq i, \omega} \text{ord}_z(f) + \frac{\text{ord}_\omega(f)}{3} + \frac{\text{ord}_i(f)}{2}$$

where  $P'$  is

the contour:



$$f\left(-\frac{1}{z}\right) = z^k f(z), \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$



Differentiating  $f\left(-\frac{1}{z}\right) = z^k f(z)$ , we get

$$f'\left(-\frac{1}{z}\right) (z^{-2}) = k z^{k-1} f(z) + z^k f'(z)$$

and dividing by  $z^k f(z)$

11.

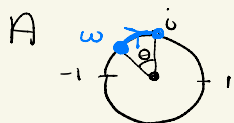
$$\frac{f'(-\frac{1}{z})}{z^k f(z)} \frac{1}{z^2} = \frac{k}{z} + \frac{f'(z)}{f(z)}$$

$$\Leftrightarrow \frac{f'}{f}(-\frac{1}{z}) z^{-2} = \frac{k}{z} + \frac{f'(z)}{f(z)} (*) \leftarrow$$

$$\text{Then } \int_{A'} \frac{f'}{f}(s) ds = -\frac{1}{2\pi i} \int_A \frac{f'}{f}(-\frac{1}{z}) (z^{-2}) dz (*) = -\frac{1}{2\pi i} \int_A \left( \frac{k}{z} + \frac{f'}{f} \right) dz$$

$\Theta = -\frac{1}{z}$

$$\rightarrow \frac{1}{2\pi i} \int_{A \cup A'} \frac{f'}{f}(z) dz = \frac{1}{2\pi i} \left( \int_A \frac{f'}{f} dz - \int_n \frac{f'}{f} dz - \int_n \frac{k}{z} dz \right)$$



$$\frac{-1}{2\pi i} \int_n \frac{k}{z} dz = \frac{k \operatorname{res}_{z=0} \frac{1}{z}}{12} = \frac{k}{12}$$

$$\Theta = \frac{\pi}{6} = \frac{2\pi}{12}$$

We then have  $\frac{1}{2} \operatorname{ord}_i(f) + \frac{1}{3} \operatorname{ord}_\omega(f) + \sum_{z \in \{i, \omega\}} \operatorname{ord}_z(f) = \frac{4}{12} + \frac{k}{12}$

Horizontal segment H  $f(z) = g(e^{2\pi i z})$ ,  $q = e^{2\pi i z}$

$$\frac{f'(z)}{f(z)} = \frac{g'(e^{2\pi i z}) e^{2\pi i z} (2\pi i)}{g(e^{2\pi i z})} \quad \text{and} \quad dq = e^{2\pi i z} (2\pi i) dz$$

Replacing in the integral

$$\frac{1}{2\pi i} \int_{z=x+iT} \frac{f'}{f}(z) dz = \frac{1}{2\pi i} \int \frac{g'(q)}{g(q)} dq = \operatorname{ord}_0(g) = \operatorname{ord}_\infty(f)$$

$$-\frac{1}{2} \leq x \leq \frac{1}{2}$$

$$q = e^{2\pi i x} e^{-2\pi y}, \quad -\frac{1}{2} \leq x \leq \frac{1}{2}$$

$|q| = e^{-2\pi y}$





## The linear space of modular forms

12.

$$M_k(\Gamma) = \{ f: \mathcal{H} \rightarrow \mathbb{C} \text{ holomorphic on } \mathcal{H} \text{ and at } \infty \\ \text{and such that } f(\gamma z) = (cz+d)^k f(z) \}$$

$$\underline{E_k(z)} \quad k \geq 4, k \text{ even} \quad G_k(z) = \sum_{(m,n) \neq (0,0)} \frac{1}{(mz+n)^k}, \quad E_k(z) = \frac{G_k(z)}{2\zeta(k)}$$

Then  $E_k(z) \in M_k(\Gamma)$ .

$$\underline{k=4} \quad E_4(\omega) = 0 \quad (\text{HWk 1})$$

and by valence formula

$$\frac{4}{12} = \frac{1}{3} + \sum_{\substack{z \in \mathcal{H} \cup \{\infty\} \\ z \neq \omega, i}} \text{ord}_z(E_4) + \frac{\text{ord}_i(E_4)}{2} \Rightarrow \text{only one zero}$$

$$\underline{k=6} \quad E_6(i) = 0 \text{ \& } E_6(z) \neq 0 \quad \forall z \neq i \in \mathcal{H} \cup \{\infty\}$$

$$\Delta(z) = (60 G_4(z))^3 - 27 (140 G_6(z))^2 \in M_{12}(\Gamma) \quad \begin{array}{l} \text{no pole and zero at } \infty \\ \Rightarrow \text{no other zero by} \\ \text{Valence formula.} \end{array}$$

### Ring of all modular forms

$$\mathcal{M} = \bigoplus_k M_k(\Gamma) \quad \text{and} \quad M_k M_e \subseteq M_{k+e} \quad (\text{graded ring}).$$

$\mathcal{M}$  is also a  $\mathbb{C}$ -vector space (each  $M_k(\Gamma)$  is)

so  $\mathcal{M}$  is a graded algebra over  $\mathbb{C}$ .

so that the Fourier  
expansion at  $\infty$  is  
 $\sum_{n=0}^{\infty} a_n q^n, \quad a_0 = 1$

$$\sum_{z \neq \{i, \omega\}} \text{ord}_z(f) + \frac{\text{ord}_i(f)}{2} + \frac{\text{ord}_\omega(f)}{3} = \frac{k}{12}$$

including  $\infty$

Important 12.  
 $\text{ord}_z(f) \geq 0$   
 $\forall z$  since  $f$   
 is holomorphic

①  $k=0$  only choice  $\Rightarrow \text{ord}_z(f) = 0 \forall z \Rightarrow f \in \mathbb{C}$

②  $k=2$  impossible

③  $k=4$  only choice  $\text{ord}_\omega(f) = 1$  &  $\text{ord}_z(f) = 0 \forall z \neq \omega$   
 $M_4 = \mathbb{C} G_4(z)$

④  $k=6$  only choice  $\text{ord}_i(f) = 1$  &  $\text{ord}_z(f) = 0 \forall z \neq i$   
 $M_6 = \mathbb{C} G_6(z)$

⑤  $k=8$  Only choice  $\text{ord}_\omega(f) = 2$  &  $\text{ord}_z(f) = 0 \forall z \neq \omega$   
 $\frac{8}{12} = \frac{2}{3}$   
 $M_8 = \mathbb{C} G_4^2(z)$

⑥  $k=10$  Only choice  $\text{ord}_\omega(f) = \text{ord}_i(f) = 1$  &  $\text{ord}_z(f) = 0 \forall z \neq i, \omega$   
 $\frac{10}{12} = \frac{5}{6}$   
 $M_{10} = \mathbb{C} G_4(z) G_6(z)$

⑦  $k=12$   $\Delta(z) \in M_{12}(\Gamma)$  with a zero at  $\infty$   
 $\Rightarrow$  only zero by valence formula

For any  $f \in M_{12}$

IF  $f \in M_{12}$ , then  $f(z) - c G_{12}(z)$  vanishes at  $\infty$  (for the appropriate  $c$ )

$$\Rightarrow \frac{f(z) - c G_{12}(z)}{\Delta(z)} \in M_0 = \mathbb{C} \text{ (no poles)}$$

$$\Rightarrow f(z) = b \Delta(z) + c G_{12}(z)$$

$$\Rightarrow M_{12} = \mathbb{C} \Delta(z) \oplus \mathbb{C} G_{12}(z)$$

and by induction  $M_k = \Delta(z) M_{k-12} \oplus G_k(z) \mathbb{C} \quad k \geq 12$

We have proven

$$\text{Then } \dim M_k(\Gamma) = \begin{cases} \lfloor \frac{k}{12} \rfloor & k \equiv 2(12) \\ \lfloor \frac{k}{12} \rfloor + 1 & k \not\equiv 2(12) \end{cases}$$

for k even

Turn Let  $S_k(\Gamma)$  be the vector space of cusp forms (holomorphic everywhere and 0 at  $\infty$ ).

Then the map

$$\begin{aligned} M_k &\longrightarrow S_{k+12} \\ f &\longmapsto f \cdot \Delta \end{aligned}$$

Furthermore,

$$\dim S_k = 0 \quad k \leq 10$$

(since  $\dim M_k = 1$ ).

is an isomorphism (of vs),

and  $\boxed{\dim S_{k+12} = \dim M_k}$

Cor  $M = \mathbb{C}[G_4, G_6]$ , where  $G_4$  &  $G_6$  are alg. independent

proof First we show that any  $f \in M_k$  is a polynomial in  $G_4$  and  $G_6$ .

We have proven that for  $k \leq 12$ . If  $k \geq 12$ , consider

$g = f - c G_4^a G_6^b$  where  $4a + 6b = k$  and  $c$  is chosen st  $g$  vanishes at  $\infty$ . Then  $\frac{g}{\Delta} \in M_{k-12}$ , and the proof follows by induction.

To show that  $G_4$  &  $G_6$  are alg. ind suppose for a contradiction that  $\exists P \neq 0$  st  $P(G_4, G_6) = 0$ . Then, the monomials in

$P(G_4, G_6)$  must have the same weight, and we can write  $P(G_4, G_6)$  as a multiple of:

$$\begin{aligned} G_4^m + G_6 Q(G_4, G_6) &= 0 \\ G_6^m + G_4 Q(G_4, G_6) &= 0 \end{aligned} \quad \deg Q < \deg P$$

$$Q(G_4, G_6) = 0$$

Analysing the zeroes, ① & ② are impossible.

Then, by induction, we always get an alg. relation of smaller degree.  
Contradiction ■

Cole identities  $E_k(z)E_l(z) - E_{k+l}(z)$  vanishes at  $\infty$ ,  
 so it has to be a cusp form or  $= 0$ .

$$\Rightarrow E_4^2(z) = E_8(z) \quad \text{since } \dim S_8 = 0$$

$$E_4(z)E_6(z) = E_{10}(z) \quad \text{since } \dim S_{10} = 0$$

$$E_6E_8 = E_4E_{10} = E_{14} \quad \text{since } \dim S_{14} = \dim M_2 = 0$$

$$E_6^2 - E_{12} = c\Delta(z) \quad \text{since } \dim S_{12} = 1$$

$$\Rightarrow \sigma_7(n) = \sigma_3(n) + 120 \sum_{0 < m < n} \sigma_3(m) \sigma_3(n-m)$$

$$\tau(n) = \frac{65}{756} \sigma_{11}(n) + \frac{691}{756} \sigma_5(n) - \frac{691}{3} \sum_{0 < m < n} \sigma_5(m) \sigma_5(n-m)$$

Bernoulli number  $B_6$

(see HWK 2).